



FORM PTO-1449

U.S. DEPARTMENT OF  
COMMERCE PATENT  
AND TRADEMARK  
OFFICE

List of Information Cited by Applicant

Page 1 of 3

ATTY. DOCKET NO.

00040.03CON

SERIAL NO.

10/791,915

APPLICANT

Hale, et al.

FILING DATE

March 03, 2004

GROUP

1616

## U.S. PATENT DOCUMENTS

EXAM. INITIAL		DOCUMENT NUMBER	DATE	NAME	CLS	SUB- CLS	FILE DATE
M	AA	10/057,198	10/26/2001	Lloyd et al.			
	AB	10/146,088	05/13/2002	Hale et al.			
	AC	10/280,315	10/25/2002	Shen			
	AD	10/302,614	11/21/2002	Lu			
	AE	10/322,227	12/17/2002	Novack et al.			
	AF	10/442,385	05/20/2003	Cross et al.			
	AG	10/719,540	11/20/2003	Hale et al.			
	AH	10/750,303	12/30/2003	Rabinowitz et al.			
	AI	10/791,915	03/03/2004	Hale et al.			
	AJ	10/850,895	05/20/2004	Damani et al.			
	AK	10/851,018	05/20/2004	Hale et al.			
	AL	10/851,429	05/20/2004	Hale et al.			
	AM	10/851,432	05/20/2004	Hale et al.			
	AN	10/851,883	05/20/2004	Hale et al.			
	AO	10/861,554	06/03/2004	Cross et al.			
	AP	10/912,417	08/04/2004	Bennett et al.			
M	AQ	10/917,720	08/12/2004	Hale et al.			
M	AR	10/917,735	08/12/2004	Hale et al.			

## FOREIGN PATENT DOCUMENTS

EXAM. INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLS	SUB- CLS	TRANS ?
M	AS	GB 502 761	03/24/1939	Great Britain			
M	AT	WO 94/09842	05/11/1994	WIPO			
M	AU	WO 97/36574	10/09/1997	WIPO			
M	AV	WO 98/02186	01/22/1998	WIPO			

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

M	AW	Office Action mailed 12/04/03 for US App. No. 10/057,198 filed 10/26/01, "Method And Device For Delivering A Physiologically Active Compound"
	AX	Office Action mailed 01/12/05 for US App. No. 10/057,197 filed 10/26/01, "Aerosol Generating Device And Method"
	AY	Office Action mailed 06/03/04 for US App. No. 10/057,197 filed 10/26/01, "Aerosol Generating Device And Method"
	AZ	Office Action mailed 12/15/03 for US App. No. 10/057,197 filed 10/26/01, "Aerosol Generating Device And Method"
M	AAA	Office Action mailed 02/27/04 for US App. No. 10/146,080 filed 05/13/02, "Aerosol Forming Device For Use In Inhalation Therapy"

EXAMINER

DATE CONSIDERED 9/27/05

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449

**U.S. DEPARTMENT OF  
COMMERCE PATENT  
AND TRADEMARK  
OFFICE**

List of Information Cited by Applicant

Page 2 of 3

ATTY. DOCKET NO.

00040.03CON

SERIAL NO.

10/791,915

APPLICANT

Hale, et al.

FILING DATE

March 03, 2004

GROUP

1616

**U.S. PATENT DOCUMENTS**

EXAM. INITIAL		DOCUMENT NUMBER	DATE	NAME	CLS	SUB- CLS	FILE DATE
M	BA	2,902,484	09/01/1959	Horclois			
	BB	3,219,533	11/23/1965	Mullins			
	BC	3,949,743	04/13/1976	Shanbrom			
	BD	3,982,095	09/21/1976	Robinson			
	BE	4,141,369	02/27/1979	Burruss			
	BF	4,183,912	01/15/1980	Rosenthal			
	BG	4,303,083	12/01/1981	Burruss, Jr.			
	BH	4,474,191	10/02/1984	Steiner			
	BI	4,484,576	11/27/1984	Albarda			
	BJ	4,566,451	01/28/1986	Badewien			
	BK	4,708,151	11/24/1987	Shelar			
	BL	4,734,560	03/29/1988	Bowen			
	BM	4,735,217	04/05/1988	Gerth et al.			
	BN	4,819,665	04/11/1989	Roberts et al.			
	BO	4,848,374	07/18/1989	Chard et al.			
	BP	4,853,517	08/01/1989	Bowen et al.			
	BQ	4,906,417	03/06/1990	Gentry			
	BR	4,917,119	04/17/1990	Potter et al.			
	BS	4,924,883	05/15/1990	Perfetti et al.			
	BT	4,941,483	07/17/1990	Ridings et al.			
	BU	4,963,289	10/16/1990	Ortiz et al.			
	BV	5,042,509	08/27/1991	Banerjee et al.			
	BW	5,060,671	10/29/1991	Counts et al.			
	BX	5,099,861	03/31/1992	Clearman et al.			
	BY	5,135,009	08/04/1992	Muller et al.			
	BZ	5,144,962	09/08/1992	Counts et al.			
	BAA	5,146,915	09/15/1992	Montgomery			
	BBB	5,224,498	07/06/1993	Deevi et al.			
	BCC	5,345,951	09/13/1994	Serrano et al.			
	BDD	5,366,770	11/22/1994	Wang			
	BEE	5,456,247	10/10/1995	Shilling et al.			
	BFF	5,511,726	04/30/1996	Greenspan et al.			
	BGG	5,564,442	10/15/1996	MacDonald et al.			
	BHH	5,592,934	01/14/1997	Thwaites			
	BII	5,605,146	02/25/1997	Sarela			
M	BJJ	5,649,554	07/22/1997	Sprinkel et al.			

EXAMINER

DATE CONSIDERED 9/27/05

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. 00040.03CON	SERIAL NO. 10/791,915
List of Information Cited by Applicant Page 3 of 3		APPLICANT Hale, et al.	
		FILING DATE March 03, 2004	GROUP 1616

U.S. PATENT DOCUMENTS							
EXAM. INITIAL		DOCUMENT NUMBER	DATE	NAME	CLS	SUB- CLS	FILE DATE
/M	CA	5,666,977	09/16/1997	Higgins et al.			
	CB	5,743,251	04/28/1998	Howell et al.			
	CC	5,819,756	10/13/1998	Mielordt			
	CD	5,840,246	11/24/1998	Hammons et al.			
	CE	5,855,913	01/05/1999	Hanes et al.			
	CF	5,874,481	02/23/1999	Weers et al.			
	CG	5,894,841	04/20/1999	Voges			
	CH	5,918,595	07/06/1999	Olsson et al.			
	CI	6,090,212	07/18/2000	Mahawili			
	CJ	6,095,134	08/01/2000	Sievers et al.			
	CK	6,131,570	10/17/2000	Schuster et al.			
	CL	6,136,295	10/24/2000	Edwards et al.			
	CM	6,155,268	12/05/2000	Takeuchi			
	CN	6,158,431	12/12/2000	Poole			
	CO	6,234,167	05/22/2001	Cox et al.			
	CP	6,299,900	10/09/2001	Reed et al.			
	CQ	6,376,550	04/23/2002	Raber et al.			
	CR	6,420,351	07/16/2002	Tsai et al.			
	CS	6,461,591	10/08/2002	Keller et al.			
	CT	6,632,047	10/14/2003	Vinegar et al.			
	CU	6,701,922	03/09/2004	Hindle et al.			
	CV	6,772,756	08/10/2004	Shayan			
M	CW	2002/0117175	08/29/2002	Kottayil et al.			
	CX	2004/0016427	01/29/2004	Byron et al.			

FOREIGN PATENT DOCUMENTS							
EXAM. INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLS	SUB CLS	TRANS ?
/M	CY	WO 98/34595	08/13/1998	WIPO			
	CZ	WO 01/13957	03/01/2001	WIPO			
	CAA	WO 01/17568	03/15/2001	WIPO			
	CBB	WO 01/41732	06/14/2001	WIPO			
	CCC	WO 01/95903	12/20/2001	WIPO			
	CDD	WO 03/37412	05/08/2003	WIPO			
M	CEE						

EXAMINER *[Signature]* DATE CONSIDERED 9/27/05

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.